



Volusia County

FLORIDA

PURCHASING & CONTRACTS’ PROCEDURES MANUAL

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Approved by: 

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**PURCHASING AND CONTRACTS DIVISION
PROCEDURES MANUAL**

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MISSION

The mission of the Purchasing and Contracts Division is to provide for fair and equitable treatment by the County of all persons involved in public purchasing, to maximize the purchasing value of public funds in procurement, and to provide safeguards for maintaining a procurement system of quality and integrity.

INTRODUCTION

The operation of Volusia County government requires a tremendous diversity of skills, crafts, trades, and professions and covers a multitude of functions and services. It is, in effect, many businesses and utilities working together. By the very nature of its diversification of enterprises and the large scope of work covered, delegation of authority is necessary.

All personnel acting on behalf of the County are tasked to operate these many functions as efficiently and economically as possible. Therefore, it is necessary that everyone work together as a team to eliminate excess effort and waste for the efficient and effective operation of County government.

A function that has a significant operational and financial impact on County government is procurement. The Purchasing and Contracts Division is a service division that facilitates the procurement of materials, supplies, equipment, and professional, technical, and contractual services required for County operation. The Purchasing and Contracts Division also has a control function to ensure that the laws, rules, and regulations concerning the purchase and disposal of goods and services are obeyed and that all transactions are carried out under the highest ethical standards. The Division also provides resources, research, and information on new products and services, as well as trends and opportunities.

Since all purchases are made with taxpayer dollars, there are many laws, rules, and regulations governing how procurement should be conducted in Volusia County, the most important of which is the Purchasing Ordinance. A copy of the Ordinance is included with this manual.

This manual has been developed in accordance with the purchasing ordinance to serve as a framework within which well-informed decisions can be made. Due to the diversity of needs and requirements and differing rules and regulations governing each type of purchase, not all possibilities can be addressed in detail in this manual. Its purpose is to point out the duties and responsibilities of those involved with the procurement function and to assist them in maintaining the County's reputation for fairness and integrity. The goal is the promotion of the County's best interests through intelligent action and fair dealing that will result in obtaining the maximum value for each dollar of expenditure.

The Purchasing and Contracts Division consists of procurement analysts and administrative staff specializing in certain commodity and departmental assignments, as well as support personnel. A list of those assignments, along with the Division's organizational chart, can be found on the Purchasing and Contracts Division's ENN Site. The Purchasing and Contracts Division has been organized into buying teams to facilitate the procurement process. Please contact the appropriate staff member or the Director of Purchasing and Contracts with any questions. There are no "dumb" questions. It is easier to ask how to do something properly than to try to undo or redo something

done incorrectly. Occasionally situations arise for which there is not a pre-set policy or procedure. Purchasing and Contracts staff will gladly assist in planning a procurement activity in advance so that it will be done within the limitations of regulations to meet specific needs.

THE PURCHASING FUNCTION

The purchasing function begins with a "need" and a budget by a using Department/Division. For everyone - the user, the vendors, and the Purchasing and Contracts Division - to function efficiently and effectively, needs must be **planned** as far in advance as possible. Each need must be thoroughly reviewed as to the type of purchasing process that will best facilitate the delivery of the commodity or service required, at the time it is needed, and at the best possible price. A review of the approved budget at the beginning of each fiscal year is recommended in order to plan a purchasing strategy and timetable. Planning should include coordination with other Departments / Divisions as necessary and requirements should be prioritized by time of need. The Purchasing and Contracts Division services the needs of ALL County Departments / Divisions, each with their own set of priorities. When planning a purchasing strategy, do not hesitate to contact a staff member to assist in the development of a reasonable timetable and appropriate methodology.

ETHICS

All County employees are bound by the Code of Ethics as prescribed in the Volusia County Charter, Article XII; Volusia County Merit System Rules and Regulations, Section 86-45, Conduct of Employees; and Florida Statutes, Chapter 112, Part III, Code of Ethics for Public Officers and Employees.

If there is any question regarding ethical conduct or action, please contact a staff member from Legal, Human Resources, or Purchasing and Contracts **before** any action is taken.

THE NEED FOR COMPETITION

The County Council and the County Manager recognize that open and fair competition is a basic tenet of public procurement. This reduces the appearance and opportunity for favoritism and inspires public confidence that Volusia County contracts are awarded equitably and economically. Complete openness and candor are important means of curbing any improprieties and establishing confidence in the process by which commodities and services are procured.

It is essential to the effective and ethical procurement of commodities and services that County Departments / Divisions use a system of uniform procedures in managing and procuring commodities and services. A detailed justification of County decisions in procurement must be maintained. Strict adherence to specific ethical considerations by all County officers, employees, and analysts, and by the suppliers and contractors is required to maintain the confidence of the public, the County, and the business community in the expenditures of County funds.

QUICK REFERENCE GUIDE

TOTAL CONTRACT VALUE	QUOTE/BID REQUIREMENTS (Minimum)	AWARD APPROVAL
\$0 - \$999.99*	Single Quote Purchasing Card	Division Director or Designee
\$1,000.00 - \$3,000.00	Single Quote	Procurement Analyst and Division Director or Designee
\$3,000.01 - \$10,000.00	3 Verbal Quotes Minimum	Procurement Analyst and Division Director or Designee
\$10,000.01 - \$25,000.00	3 Written Quotes Minimum	Director of Purchasing and Contracts and Department Director or Designee
\$25,000.01 - \$50,000.00	3 Written Quotes Minimum. obtained through Purchasing	Director of Purchasing and Contracts and County Manager or Designee
\$50,000.01 - \$75,000.00	Formal, Advertised Invitations	Director of Purchasing and Contracts and County Manager or Designee
\$75,000.01 and Greater	Formal, Advertised Invitations	County Council
\$50,000 .01- \$125,000	Formal, Advertised Invitations for Housing Rehabilitation Projects	County Manager County Council must approve projects over \$125,000.00

*Amount may be set lower by Department/Division Director.

Contracts for the purchase of any commodities or services may be signed only by the Chair of the County Council, County Manager, or the Director of Purchasing and Contracts, or their designee, as may be applicable.

TYPES OF COMMON PURCHASING TRANSACTIONS

Purchasing Card

The Purchasing Card is an ideal tool for small purchases. Purchasing Cards are issued in an employee’s name and/or a division name with preset spending controls and limits. The per-transaction limit for purchases is set at nine hundred ninety nine dollars and ninety nine cents or less (\$999.99). Purchasing Cards are only issued upon written request from the Department or Division Director and after the employee has attended mandatory training. The Purchasing Card is intended for small dollar, **non-recurring** purchases. Please refer to the Purchasing Card Manual for additional details regarding this program.

Standard Purchase Order

The County standard purchase order is issued for routine County purchases of commodities and services. All purchase orders are requested through the CGI system and are issued for the purchase of most types of items and/or services. Purchase orders are encumbered in the financial accounting system.

Task Assignments

Task Assignments are specific, detailed services or jobs placed against an awarded, established contract. A purchase order will accompany a task assignment for payment.

Master Agreements

A Master Agreement is an indefinite quantity agreement. It is a tool to facilitate purchases when the exact quantity of a required commodity or service is unknown or may vary depending upon the using Department/Division's requirements. A Master Agreement is used to obtain commodities or services needed frequently for operations and maintenance. Please refer to the Master Agreements section of this manual for further details. Master Agreements may be found on the Purchasing and Contracts ENN site.

Letter Contracts

Letter Contracts are issued for contracts for which there is no competitive function (such as charitable contributions approved each fiscal year by County Council, or payments to other governmental agencies). Letter contracts are only issued to other governments or to non-profit organizations. Letter Contracts are usually issued each fiscal year for those payments approved in each fiscal year's budget but may be requested and issued any time during the fiscal year for approved transactions. Please refer to the Letter Contract section of this manual for further details.

Emergency Purchase Order

Emergency purchases are **ONLY** for commodities or services necessary because of certain emergency conditions that may affect the health, safety, and welfare of the citizens of Volusia County. Please refer to EMERGENCY PURCHASING section of the Purchase Manual for the complete set of procedures

SPECIFICATION and STATEMENT OF WORK

While a "specification" usually describes a product, a "statement of work" usually describes labor services. The two terms are often used interchangeably when speaking in a generic sense.

A statement of work is a narrative description of the required work. It stipulates the deliverables or services required for fulfilling the contract, and it defines the task to be accomplished or services to be delivered in clear, concise, and meaningful terms.

The term "specification" means any description of the physical or functional characteristics or the nature of the supply or construction item. It may include a description of any requirement for inspecting, testing, or preparing a supply, service, or construction item for delivery. Specifications may be written as design specifications, performance specifications, or combination. Design specifications define each detail and component that composes the whole entity and are commonly used in the traditional design, bid, build approach. Performance specifications define the desired results or output of the item and are commonly used in brand name or equal descriptions.

The specification and/or statement of work are the basis for obtaining a commodity or service suitable for the County's needs. The department shall consider the total costs of ownership and operation as well as the initial purchase costs when defining the work. It is the policy of the County that specification and/or statement of work permit maximum practical competition consistent with this purpose. Specification and/or statement work shall be drafted with the objective of clearly describing the user's functional and/or performance requirements.

If a purchase is made without knowing the exact requirements of the requesting Department / Division, errors and frustration are common results. It is the responsibility of the using Department/Division to clearly understand the Department/Division's needs and requirements and

communicate those needs to the Purchasing and Contracts Division. Purchasing and Contracts Division staff will assist the using Department/Division in writing specification and/or statement of work for any product or service required. Certain technological or standardized purchases require participation from other County agencies or consultants in drafting the specification and/or statement of work, but at no time shall a department or division engage in accepting specifications written by a potential bidder or proposer of a commodity or service. If a vendor is used to develop a statement of work or specifications, that vendor will not be allowed to participate in the solicitation process.

It is the general policy of the County to purchase standard commercial products whenever practical. When developing specifications and/or statements of work, accepted commercial standards shall be used and unique requirements shall be avoided, to the extent practical. Specifications that list a Brand Name "Or Equal" description are intended to be descriptive and not restrictive, and to indicate the minimum quality and characteristics of the products that will be accepted. Proposals offering "equal" products will be considered for award if such products are clearly identified in the proposal and are determined by the user and the Purchasing and Contracts Division to fully meet or exceed the salient characteristics listed in the specifications.

PURCHASING REQUIREMENTS

The estimated value of the purchase determines what steps must be completed before a purchase order can be issued.

OBTAINING QUOTES

While it is the Purchasing and Contracts Division's responsibility to obtain quotes, the using Department/Division has been delegated the authority to obtain at a minimum three quotes for purchases less than \$25,000.00. The Requests for Quotes must be identical in the description of quantity, quality, terms and conditions. An adequate description of the specification and/or statement of work must be included so that the Purchasing and Contracts staff member may contact vendors for quotes/bids to obtain the commodity or service when it is needed, delivered to the proper place, of the quality and in the quantity specified, and, of course, at the best price. Even if quotes are provided with the user Department/Division's requisition, a complete description of the user Department/Division's needs, required delivery time, and all special conditions must be clearly entered in the requisition or attached in the request. At no time shall the using Department/Division disclose quote pricing to other vendors prior to selection to obtain a better price, this provides an unfair and unethical advantage. **If in the best interest of the County, the Purchasing and Contracts Procurement Analyst may obtain additional quotes.**

Single Quote

1. Estimated Value \$999.99 and under

Use the Purchasing Card for this type of purchase. See Purchasing Card Procedures Manual for guidelines.

2. Estimated Value up to \$3,000.00

While this dollar limit requires only a single quote, the user Department/Division should check the marketplace to ensure that the best value is being obtained. If the vendor and cost, including freight and/or shipping, is known, complete the appropriate electronic document with all the information available and submit it to the Purchasing and Contracts Division. The document will

be reviewed by the appropriate procurement analyst. If the analyst agrees with the recommendation for purchase, the document will be processed. If the analyst questions whether the commodity/service can be purchased from another vendor, for a better price, or is available from another source or contract, the requestor will be contacted to discuss and consider a change.

Verbal Quotes

3. Estimated Value \$3,000.01 to \$10,000.00

Requests for purchases for this dollar value are completed in the same manner as stated in the overview for obtaining quotes, however, a **minimum** of three (3) VERBAL quotes is required. The **user** may obtain the necessary quotes and furnish them with the appropriate document. If the user is unable to perform this function, they may provide sufficient specification and/or statement of work information so that the appropriate Purchasing and Contracts staff member can obtain quotes. If a user obtains the quotes, list the firm name, telephone number, name of the person contacted, and each unit price, including any freight costs, on an attachment to the document. If three (3) quotes could not be obtained, **document** the firm name(s) and phone number(s) of those contacted that did not respond and **communicate with your Procurement Analyst for assistance**.

Written Quotes

4. Estimated Value \$10,000.01 to \$25,000.00

Requests for purchases of this dollar value are completed in the same manner as stated in the overview for obtaining quotes, however, a **minimum** of three (3) WRITTEN quotes is required. Obtain the necessary quotes and furnish a copy of each written quote with the appropriate document or provide sufficient specification and/or statement of work so that the Procurement Analyst can obtain quotes.

5. Estimated value \$25,000.01 to \$50,000.00

Requests for purchases of this dollar value are completed in the same manner as stated in the overview for obtaining quotes, however, the Purchasing and Contracts Division shall obtain a **minimum** of three (3) WRITTEN quotes, or explain why three written quotes were not obtained. **More detail to the scope or specifications may be required for this level of purchase.**

FORMAL SOLICITATIONS

If the estimated value is greater than \$50,000.00, the Purchasing and Contracts Division must prepare a formal, solicitation (Invitation To Bid (ITB), Request For Proposals (RFP), Request For Statements of Qualifications (RSQ), or Request For Information (RFI) & Invitation to Negotiate (ITN)), **which will be publicly noticed and advertised** and received as a sealed solicitation.

The specifications and/or statement of work for the requested purchase shall accompany the requisition through the CGI system along with the *solicitation request form*. The appropriate Purchasing and Contracts Division staff member will review the specification and/or statement of work to ensure that they are adequate for the solicitation document or will work with the requesting Department/Division to identify additional specifications and/or statements of work, terms, and conditions. The Purchasing and Contracts Division staff member will coordinate all of the details of the invitation such as a pre-proposal conference, if applicable, and the suggested opening, selection, and award dates. After the formal opening, the staff member and the using Department/Division will evaluate the bids or proposals based upon predetermined criteria for award. For Invitations to Bid, the using Department/Division shall transmit in writing its recommendation for award to the Purchasing and Contracts Division. For solicitation types other

than ITB, evaluation committee meeting(s) will be scheduled to rank or determine a recommendation to council. For solicitations that are very technical in nature, a Technical Evaluation committee (TEAC) may be required to evaluate the firms based on a meets or pass/fail type basis related to the technical specifications and/or statement of work.

PUBLIC ADVERTISEMENTS

All formal solicitations must be publicly advertised at least a week in advance of the specified closing date on the County’s Purchasing and Contracts website. In addition, solicitations mandated by the County Code and Florida Statute and shown in matrix below shall be advertised in a newspaper of general circulation in the County. There may be other advertising requirements depending upon the type and value of the proposed contract. Other advertising may also be suggested if a wide selection of potential proposers is required.

Public Advertising Requirements:

Type	Times	Minimum*	Recommended
County ITBs, RFPs, RSQs ¹	1	5 days	20-30 days
Construction > \$200,000 ²	1	21 days	30 days
Construction > \$500,000 ²	1	30 days	30 days
Sale or Lease of County Real Property ⁴	2	14 days	30 days
Road Projects ³	2	14 days	30 days
Federal Aviation Authority Projects	2	Per FAA	20 - 30 days
Federal Transit Authority Capital Equipment	1	Per Department/Division	20 - 30 days
Federal Transit Authority-projects	2	Per Department/Division	20 - 30 days
Grants	1/grant	Per Department/Division	20 - 30 days
Addendum - changing closing date	1	5 days	10 - 15 days

*Minimum - number of days from date Public advertisement appears in the newspaper until closing date.

** Public advertisement must also be at least 5 days before any pre-bid meeting.

¹ Volusia County Code Section 2-271;

³ Florida Statutes 336.44 (2);

² Florida Statutes 255.0525 (2); 5 days prior to pre-bid

⁴ Florida Statutes 125.35

Pre-Solicitation, Pre-Bid, Pre-Proposal Conferences

Conferences may be scheduled and conducted by the Director of Purchasing and Contracts, or designee, before the official time and date set for the Formal Opening to explain the purchasing requirements and to solicit information from potential bidders/proposers.

A MANDATORY conference may be scheduled and conducted if the technical or physical requirements of the solicitation require the physical presence of potential proposers. Proposals from anyone not attending the mandatory conference(s) will not be considered. Mandatory conferences restrict competition and therefore must be justified and approved by the Director of Purchasing, prior to release of the solicitation. The Director of Purchasing and Contracts or designee may add a second meeting if deemed in the best interest of the County.

Formal Opening

All formal solicitations shall be opened publicly at the date, time, and place designated in the solicitation under the direct control of the Purchasing and Contracts Division. Only the name of each bidder or proposer shall be read aloud per FL Statute 119.071; if this is modified, the process will also be modified to be compliant with the statute. Since most formal solicitations require further review to determine responsiveness, responsibility, and/or qualifications, the Purchasing and Contract Division will abide by FL Statute 119.071(1) (b)3, which exempts the solicitations from public record for thirty days, or until a recommendation of award is ready to publish or whichever is sooner. The exception to this would be Public Works Department projects per Florida Statute 255.0518.

Late Proposals

Any bid, proposal, or offer received at the place designated in the solicitation after the official date and time specified for receipt of proposals shall be deemed late and will not be considered for award. Any request for modification received after the date and time specified shall not be considered. The time clock in the Volusia County Purchasing and Contracts Division is the official device for recording all times pertinent to Formal Openings.

Vendor List

The Purchasing and Contracts Division maintains a database of vendors with whom the County has done business in the past, and a list of firms which have expressed interest in doing business with the County of Volusia. Potential vendors shall register with the County through the Vendor Self Service system (VSS) which is linked to the Volusia County Purchasing and Contracts website www.volusia.org/purchasing. It is the responsibility of all vendors to maintain and update information in this system. County staff shall utilize the CGI system to identify vendors for informal quotes done within the Division.

AWARD OF CONTRACTS AND APPROVALS

Award

Initial Award. All bids and quotes shall be awarded to the **lowest responsive and responsible** bidder(s). If no criteria are specified in the solicitation, the award will be based upon the price alone. The award of all contracts shall fall within the guidelines stated previously in the Quick Reference Guide section of the manual. The County may award to bidders if it is in the best interest of the County.

One Response / Over Budget. If only one response to a solicitation is received, or if the low, responsive, responsible bidder has submitted a price higher than the county's budgeted amount, the Director of Purchasing and Contracts or designee may negotiate with this bidder to obtain a lower price and present such results to the appropriate approving authority.

Contract Awardee Can No Longer Provide Supply/Service. The approval authority may authorize award to the next lowest, responsive, responsible bidder or next ranked respondent, as appropriate.

Purchase of Capital Equipment/Capital Outlay

The County Manager or designee shall have the authority to award all contracts for capital equipment from the capital equipment list in the budget approved by the County Council provided that the final cost per item does not exceed the approved budget cost by more than a total dollar value of \$75,000.00.

Protest Procedures

The only vendor that may protest the award of the contract for a solicitation is a vendor that made a submittal to the solicitation which was timely received by the county in accordance with the terms of the solicitation. Protests not timely made shall be barred. Formal written notice on vendor's company letterhead shall be received by the Director of Purchasing and Contracts no later than five (5) business days from the date of posting of recommendation of solicitation award to the Volusia County website (www.volusia.org/bidlist). A "business day" is defined as Monday through Friday, 8:00 a.m. to 5:00 p.m., excluding County holidays. All protest points / issues to be considered must be included and vendor will not be permitted to submit new issues or any additional points or amend protest points once the protest is submitted to the Director of Purchasing and Contracts. A protest shall include all material details and documentation that vendor / bidder asserts supports the protest. The following shall not be the subject of a protest under this procedure:

1. Exceptions to scope of work or specifications in the solicitation document; and
2. Any allegations of misconduct or misrepresentation of another vendor in the solicitation process other than contained in such vendor's submittal.

The Director of Purchasing and Contracts shall issue a written determination regarding the protest within a reasonable time. The vendor may appeal the determination by submitting a written protest to the County Manager with a copy to the Director of Purchasing and Contracts within five (5) business days from the issuance of the written determination by the Director of Purchasing and Contracts. The County Manager shall evaluate the vendor's written protest and issue a written decision within a reasonable time. For protest of solicitations valued \$75,000.00 or less, the County Manager's decision shall be final.

For protest of solicitations valued greater than \$75,000.00, the vendor may appeal the County Manager's decision by submitting a written protest to the County Chair with a copy to the County Manager and Director of Purchasing and Contracts within five (5) business days of the issuance of County Manager's written decision. The protest shall be placed on the agenda of a regular county council meeting as determined by the County Chair. The County Council shall evaluate the protest and render a decision at the meeting. The decision of the County Council shall be final. The protest process shall not stay the solicitation, negotiation, or contract award process if determined to be in the best interest of the County, by the County Manager or County Council.

For solicitations under Selection Process "C", the County Council's consideration and decision of award shall be final and determinative of all issues regarding the solicitation, including any protests in connection therewith.

SELECTION PROCESSES

Professional Services

The County regularly requires the services of certain types of professionals to accomplish the missions of the County. While some of these services may be solicited based upon price and related criteria, at times it is better to choose the professional service provider based on their qualifications. Under Florida Statute 287.055, the acquisition of all architectural, engineering, landscape architectural, surveying, and mapping services must be procured through the qualifications only process known as the Consultants Competitive Negotiation Act (CCNA). The

External Auditor selection process is also a qualifications process governed by Florida Statute Chapter 11.45. Other types of professional service providers may also be chosen based on qualifications as the needs of the County dictate.

For the purpose of these policies and procedures, the term “consultant” means an independent contractor who is considered to have education, specialized knowledge, experience or special abilities not generally available within County government. This includes but is not limited to: accountants, actuarial, appraisers, architects, artists, auditors, counselors, designers, economists, educators, engineers, financial analysts, lobbyists, management and systems analysts, medical practitioners, planners, promoters, researchers, scientists, sociologists, surveyors, trainers, and other professionals as designated by the Director of Purchasing and Contracts.

The following basic procedures shall be followed to select a consultant based upon qualifications when the estimated total cost of the contract is above the formal invitation amount, or when required by statute. This process shall be referred to as the Request for Statements of Qualifications (RSQ) process. The Request for Proposal (RFP) process shall be followed to select a consultant when the selection criteria include items in addition to qualifications such as product and additional services. These processes may be modified to accommodate specific requirements of the County.

The using Department/Division shall define and prepare a written basic scope of services and required qualifications for the project or series of projects that will require contracting for professional services as described above. The request shall be sent to the appropriate Purchasing and Contracts Division Procurement Analyst and Purchasing and Contracts shall prepare a rough draft Request for Statements of Qualifications (RSQ). This will be given to the requesting using Department/Division for review. Recommended changes shall be incorporated in the RSQ as applicable and a final draft RSQ shall be prepared and submitted to the using Department/Division for approval.

The Director of Purchasing and Contracts will work with the Chief Financial Officer to determine which of the following processes (A, B,C) to use on each selection. The County Council or the County Manager may choose to modify a process or use a different process if it better serves the needs of the County.

Any resulting consultant contract shall be limited to a term recommended by the County Manager, or delegate, unless a different term is specified by the County Council. Each consultant contract shall have a clause to permit the termination of the contract without cause at the County’s convenience.

Evaluation committee Matrix – RSQs and RFPs

Activity Process	Development of RSQ or RFP	Evaluation committee Membership	Primary Ranking	Presentations Made to:	Approval of Final Ranking	Award	Typical Example
Process “A”	Staff	Staff	Evaluation committee	Evaluation committee	County Council	County Council	routine projects
Process “B”	Staff	Appointed County Council Member and Staff	Evaluation committee	County Council	County Council	County Council	Large projects and special interest projects such as trash hauling and pickup, major facility expansions and engineering, including CMGC projects and Countywide studies which are determined by manager and County Council,.
Process “C”	Staff, at direction of County Council	County Council	County Council	County Council	County Council	County Council	Auditor, property insurance

CCNA PROCESS FOR SERVICES OF AN ARCHITECT, ENGINEER, LAND SURVEYOR, MAPPER AND LANDSCAPE ARCHITECTURE.

Florida Statute 287.055, as amended, governs requests for purchase of these types of professional services commonly known as the "Consultants Competitive Negotiations Act" (CCNA), this statute is preemptive and this manual may be amended by act of legislation in regards to any changes to CCNA by amendment of the statute. This Statute defines a “continuing contract” and its maximum limitations. It is a contract whereby the firm provides professional services for projects in which construction costs do not exceed \$4 million, for study activity when the fee for such professional service does not exceed \$500,000, or for work of a specified nature as outlined in the solicitation and resultant contract, with no time limitation except that the contract must provide a termination clause. Firms providing professional services under continuing contracts shall not be required to bid against one another. These services are obtained by qualifications instead of pricing.

1. Project Specific CCNA - This is when the value exceeds the threshold of the continuing contract - for major construction and design projects.
2. Continuing Contract - Projects general in nature allowed to award to multiple consultants and uses as needed based on qualifications which fit the task.
 - A. Cannot exceed the threshold stated previously- must meet threshold of both professional and construction at all times including change orders.
 - B. Work is done through Task Assignments and work and fees are negotiated.

If a Department/Division is close to the threshold, a project specific RSQ should be done to avoid violating the terms of CCNA.

New Contracts (Professional Services)

The following process is followed if a contract **does not** already exist:

1. Purchasing and Contracts Division will issue a Request for Statements of Qualifications (RSQ) to obtain qualification data from potential businesses from which a selection will be made.
2. Evaluation Committee will follow Florida Statute 287.055 (CCNA).
 - A. Project specific - Committee will rank the firms and an agenda will be created to seek **permission to negotiate a contract with the top ranked firm**. Following Florida Statute 287.055 the County will negotiate a rate and bring back to council for approval and contract.
 - B. Continuing Contract - Committee will determine, based on need, how many firms to award and will select top firms. Council will award a Master Contract to the firm(s) with no dollar value and fees are negotiated per task with the appropriate firm(s), and the resulting task assignments are routed for additional approvals by the Director of Purchasing and Contracts, County Manager and/or County Council, depending upon the dollar value and scope of services.
 1. On Call Services - Task Assignment may be issued for certain professional services which allow a department to mobilize professional services quickly and easily under pre-negotiated terms and conditions for urgent time sensitive matters. These on call services shall not be used to circumvent daily tasks to continuing service contracts. Call your Procurement Analyst for assistance.

REQUESTS FOR CONSTRUCTION SERVICES

Construction projects should be requested through the Purchasing and Contracts Division. Large construction projects are competitively bid and contracted to a firm which is authorized to manage the construction project on behalf of the County. All forms for construction services are found on the Purchasing intranet. Types of ways to do construction projects are as follows:

1. Design-Bid-Build - The County will select through RSQ a qualified designer to develop plans which will then be used to competitively bid construction services and, based on the lowest responsive responsible bidder, will construct.
2. Design-Build Services - A design-build project combines the design and construction of a public construction project via a single contract to be performed by one firm. Design-build procedures are governed by Florida Statute 287.055, as amended, which sets forth specific criteria for obtaining design-build services. Along with other criteria, this method requires a design criteria package be prepared and included with the Request for Statements of Qualifications (RSQ). Selection of the proposal is initially based upon the qualifications of the proposer. The contract price and technical and design aspects are submitted by the short-listed proposers during the second phase of the evaluation process.
3. Construction Manager at Risk (CMR) - The County will select through RSQ process the best qualified Construction Manager. The Construction manager will work with staff and provide the County with a Guaranteed Maximum Price (GMP). The Construction Manager is responsible for hiring all sub-contractor and working with the Architect.

REQUESTS FOR REPAIRS AND MAINTENANCE

Simple repairs and routine maintenance contracts may be requested through the Purchasing and Contracts Division directly and processed as a commodity purchase; however, major projects may require coordination with other agencies within the County (such as Central Services Division). Each request for repair and/or maintenance services will be reviewed on a case-by-case basis and the appropriate purchasing method determined by the Director of Purchasing and Contracts or designee. **Any maintenance request or use of a contract for maintenance for any Volusia County facilities should go through Central Services Division.**

REQUEST FOR PROPOSAL (RFP) / INVITATION TO NEGOTIATE (ITN)

A using Department/Division may require other consulting or specialized services not governed by Florida Statute 287.055, however, the Director of Purchasing and Contracts may elect to use the same process as above to obtain these services. Each request is reviewed on a case-by-case basis and the Director of Purchasing and Contracts will determine the appropriate purchase method. The Request for Proposal (RFP) or Invitation to Negotiate (ITN) methods are similar to the above RSQ method; however, in the RFP method, price and other criteria are included in the RFP document and selection process.

INVITATION TO BID (ITB)

When a Department/Division knows what they need, and have a clear set of specifications, an invitation to bid may be issued. This method is set with a clear and concise set of specifications. In this process, the award is made to the lowest, responsible and responsive bidder. Please take note that this is not a low bid only evaluation. All information required with pricing shall be taken into the evaluation process (responsive and responsible), these may include warranty requirements, references, delivery requirements, insurance and submittal of all documents.

PURCHASING COMMITTEES

1. Definitions

An “Evaluation Committee” is defined as any committee that recommends award, directly or indirectly, to the County Council. An evaluation committee ranks and/or short-lists respondents to an ITN, RFP, or RSQ based upon the information submitted in response to the solicitation. This definition includes all selections under Florida Statute 287.055 FS, as amended. All evaluation committee meetings are open public meetings as defined in Florida Statute 286.

A “Technical Review Committee”(TEAC) is defined as any committee appointed by the Director of Purchasing and Contracts to establish and/or review specifications or statements of work for any purchasing project. A technical review committee does not generally fall within the requirements of an open public meeting. A TEAC does *not* make a recommendation for award; this committee identifies if the product meets the stated specification, which is technical in nature.

2. Public Meeting Notice

Reasonable public notice shall be given before any evaluation committee meeting. Such notice shall include: (1) name and/or purpose of evaluation committee, (2) time and place of meeting, and (3) ADA requirements notification information. All Public Meeting notices shall be posted on the Purchasing and Contracts Division’s website.

3. Advertisement

The Purchasing Department shall publish a meeting notice for any evaluation committee meeting at least one day before the meeting date on the Purchasing and Contracts Division's website.

4. Meeting Summary

The meeting summary of any public meeting shall be made available for public review. Original minutes shall be filed with the solicitation package in the Purchasing and Contracts Division.

5. Continued Meetings

If an open public meeting is adjourned and reconvened at a later date to complete the business of the meeting, the second meeting shall also be noticed. This includes any meeting where a presentation is made to the selection or technical committee.

6. Membership

The Director of Purchasing and Contracts or designee shall chair all evaluation committees and shall appoint the committee from staff or other representatives who have knowledge and interest of subject matter. Suggestions from the affected user shall be given consideration. The County Manager or designee will approve all evaluation committees.

Any person with a conflict of interest shall not be appointed to any purchasing evaluation committee, or shall be removed from the committee if the conflict arises after appointment to the committee. A conflict of interest is defined as any circumstance in which the personal interest of a committee member in a matter before them and their official capacity may prevent or appear to prevent them from making an unbiased decision with respect to the matter. A conflict of interest is also any conflict defined in the County of Volusia Code, Florida Statutes, or Federal Statutes.

7. Voting

The vote of each member of the evaluation committee shall be recorded in the minutes. It is recommended that all evaluation committee member be physically present for all of the presentations in order to vote. Recommendation shall be made by consensus, if possible. If the Chair of the committee deems consensus impossible, the recommendation shall take place by totaling individual votes of committee members.

8. Conferencing

A member of an evaluation committee may utilize technology such as conference calls to participate in a selection meeting as long as there are to be no presentations made at the meeting. If presentations are to be conducted, all members shall be in attendance. A vendor may be interviewed via teleconference for a solicitation; however, all members of the County evaluation committee shall be convened as a group to participate in the interview.

9. Discussion with Respondents

Any discussion between any member of an evaluation committee and any respondent regarding the purchasing project before an award by County Council is prohibited. All requests for information, clarification, or the status of any purchasing project shall be directed to the Director of Purchasing and Contracts or designee.

10. Recommendation of Award

The Director of Purchasing and Contracts shall forward the evaluation committee's recommendation of ranking to the County Manager or County Council, as required.

REQUESTS FOR REAL ESTATE TRANSACTIONS AND SERVICES

There are several types of real estate transactions and services. Each is handled in a manner governed by the Florida Statutes. If not specifically addressed in this section, the Director of Purchasing and Contracts will advise the appropriate methodology.

Request to Purchase Real Estate

All requests for the purchase of real estate are processed through the County Legal Department.

Requests for Lease Space

Requests for space to be leased for office, storage, warehouse, or any other use shall begin with the Facilities Services Division.

The following process shall be utilized to procure Lease or Rental type contracts (of private / commercial property) for use by the County:

1. The using Department/Division shall determine that there is a requirement to lease private/commercial space.
2. The County Manager and/or County Council (upon request submitted by the using Department/Division) shall approve/deny request. The County Manager is authorized to approve a one-year lease if within the appropriate signature level. County Council must approve multiple year leases.
3. The using Department/Division, Facilities Management Director, and Director of Purchasing and Contracts or designee shall locate potentially adequate private/commercial facilities.
4. The Director of Facilities Management and Director of Purchasing, or designee, shall negotiate lease/rental amount, services, facilities, etc.
5. The Purchasing and Contracts Division shall prepare the lease/rental contract and submit it to the Legal Department for review as to adequacy of form.
6. The Purchasing and Contracts Division shall prepare the Council Agenda Item for approval of lease and commitment of funds.
7. The Purchasing and Contracts Division shall distribute the executed contract documents.
8. The using Department/Division shall submit the Purchase Order Requisition for the recurring lease/rent payments.
9. Facilities Management, Purchasing and Contracts, and the using Department/Division shall monitor the lease during its term for notices, renewals and/or termination.

TECHNOLOGY PURCHASES

Requests for certain technological purchases are governed by other agencies within the County and may be affected by standardization policies. These include, but are not limited to, requests to purchase telephone equipment and/or services; computer equipment and/or services; software; communication equipment and/or services; and other technological equipment and/or services. (See *Interaction with Other County Departments / Divisions / Activities.*) Each request for technological commodities and services will be reviewed on a case-by-case basis by Information Technology staff and the Director of Purchasing and Contracts to determine the appropriate purchasing process.

A Department/Division may request the purchase of “new” technological equipment and/or services not already governed or standardized by existing policies. Each request shall be reviewed on a case-by-case basis by Information Technology and the Director of Purchasing and Contracts to determine the appropriate purchasing process for each.

TRANSPORTATION EQUIPMENT - ANY TYPE

Requests for any type of transportation equipment - automobiles, trucks, fire trucks, buses, heavy construction type equipment, etc. - shall be coordinated through the Fleet Management and Purchasing and Contracts Divisions. Transportation equipment is purchased by many methods including purchase at public auction, sealed bids, and various term contracts. Requests for transportation equipment should be received by September 1 to facilitate planning for the upcoming fiscal year including auction schedules, vehicle production schedules, and bid schedules. Purchasing of some transportation equipment requires a long lead-time with deliveries ranging from six (6) months to more than two (2) years. Adequate planning and early requests are essential to minimize delays when procuring transportation equipment.

FURNITURE PURCHASES

The County has adopted furniture standards which are posted on Purchasing and Contract’s ENN page. The standards are set to ensure professionalism and fluidity of furniture in County facilities. Furniture is typically purchased using state of Florida contracts. Furniture which exceeds \$25,000 or complete project renovations- which may require furniture which may be unique to the building or function of the Department/Division will require Departments and Divisions to work with the Purchasing and Contracts Division in obtaining quotes from multiple state contracted vendors. Purchase of furniture will follow the same approval levels as other commodities and services.

OWNER DIRECT PURCHASES

Florida law allows government entities to buy goods and services tax-free when the government entity makes payment directly to the vendor. When a government entity directly buys materials for use in a public works construction contract, these purchases are exempt from sales tax when the government entity follows certain procedures. These procedures are in Rule 12A-1.094, F.A.C.

Direct purchase of materials by the government entity for use in a public works construction project is commonly referred to an “owner-direct purchase program.” Government entities use owner-direct purchase programs to take advantage of their tax-exempt status to directly purchase materials for public works construction projects. If a contractor or subcontractor, rather than the government entity, buys materials for use in a public works construction contract, the contractor or subcontractor must pay sales tax.

Government entities, contractors, and subcontractors who enter into public works construction projects have asked questions about the requirements that must be met to qualify for the sales tax exemption available for direct purchases by a government entity. This is especially true when a contractor or subcontractor engaged in a public works construction contract provides the materials in an owner-direct purchase program or other similar arrangement.

To help the parties involved in a public works construction contract understand the conditions that must be met to satisfy the requirements of Rule 12A-1.094, F.A.C., and prove that the government

entity rather than the contractor or the subcontractor is the purchaser of the materials, the using Department/Division provides the following guidance from this rule:

1. Direct Purchase Order. The government entity must issue its purchase order directly to the vendor supplying the materials the contractor will use and provide the vendor with a copy of the government entity's Florida Consumer's Certificate of Exemption.
2. Direct Invoice. The vendor's invoice must be issued to the government entity, rather than to the contractor.
3. Direct Payment. The government entity must make payment directly to the vendor from public funds.
4. Passage of Title. The government entity must take title to the tangible personal property from the vendor at the time of purchase or delivery by the vendor.
5. Assumption of the Risk of Loss. Assumption of the risk of damage or loss by the government entity at the time of purchase is a paramount consideration. A government entity will be deemed to have assumed the risk of loss if the government entity bears the economic burden of obtaining insurance covering damage or loss or directly enjoys the economic benefit of the proceeds of such insurance.

MASTER AGREEMENTS

A **Master Agreement** is an indefinite quantity agreement. It is a tool to facilitate purchases when the exact quantity of a required commodity or service is unknown or may vary depending upon the using Department/Division's requirements.

A Master Agreement requires competition or award according to another political entity's contract, Florida State Contract, GSA, PRIDE, RESPECT, Purchasing Cooperatives, etc., or acceptable written justification as a sole/single source.

If usage is anticipated to be less than \$10,000.00 in a twelve-month period, three (3) verbal quotes must be obtained by the using Department/Division. If usage is anticipated to exceed **\$10,000.00** and up to \$25,000.00 in a twelve-month period, at least three (3) written quotes must be obtained by the using Department/Division. For purchases which exceed \$25,000 in a twelve month period, it is the responsibility of the Purchasing and Contracts Division to obtain three written quotes, the using Department/Division will be responsible for furnishing a scope of work to the Purchasing and Contracts division, to obtain the detail quotes. If a master agreement is for a multiple year term, the cumulative will set the procurement process.

If usage is anticipated to exceed **\$50,000.00** in total value, a formal competitive solicitation shall be used to select the vendor, unless the award is made from another political entity's contract, Florida State Contract, GSA, PRIDE, RESPECT, and Purchasing Cooperatives, etc., or justified as a sole/single source.

A Master Agreement shall be awarded by the Director of Purchasing and Contracts, County Manager, or County Council, in accordance with the Purchasing Ordinance signature/authority award levels, and is normally issued for County-wide use. A Department/Division specific Master Agreement may be issued under certain circumstances.

A Master Agreement is limited to a term approved by the County Manager or Director of Purchasing and Contracts when annual expenditures are up to \$75,000.00. If annual expenditures

exceed \$75,000.00, Council must approve the term of the agreement.

It is the using Department/Division's responsibility to ensure that the Master Agreement is still valid prior to placing an order with the vendor.

The Department/Division buying the commodity or service is responsible for verifying the invoice price against the Master Agreement. If there is a difference between the invoice and the Master Agreement, the using Department/Division shall contact the vendor to resolve the difference. If the problem is not resolved, the using Department/Division shall contact the appropriate Purchasing and Contracts Division staff member responsible for the Master Agreement for assistance in resolving the problem.

The appropriate Purchasing and Contracts Division staff member responsible for the Master Agreement will contact the using Department/Division within the appropriate time frame prior to the expiration date to determine if there is a need to renew or extend the Master agreement.

5 Easy Steps to a Master Agreement

1. Identify the requirements. What commodity or service is needed? How much will be needed in a year's time [dollar amount and quantity]? What are the quality standards for the commodity or service? Where will the commodity be delivered or the service performed? When does the commodity have to be delivered or the service performed after the vendor is notified? Are there any special requirements?
2. Follow the threshold requirements previously stated for competition.
3. Contact the Procurement Analyst for the commodity, who will obtain insurance and assist for any piggyback.
4. Prepare a request for a Master Agreement through the CGI system.
5. Award Agreement – based on award thresholds.

NOTE A: Documentation Requirements for a piggyback

The following documentation comprises the minimum requirements to use another political entity's awarded contract. Please provide the required information to the Purchasing and Contracts Division or contact the appropriate staff member for assistance.

Florida State Contracts: Please provide the current Florida State Contract Number or information for the Procurement Analyst to obtain. If the contract has fixed unit prices, no additional information is needed. If the contract is a percent discount from list, a copy of the original manufacturer's list price is also required. This will usually be in the form of a published price list. If requesting only to utilize some of the items on the contract, only the pages with those prices are required. It is important to understand the terms of the contract, and review payment terms and delivery terms, as they must match the County of Volusia's terms and conditions.

GSA Contracts: Please provide the following items:

1. The face page of the Federal General Services Administration (GSA) contract showing the contract name, number, and contract term;
2. The ordering information page(s); and
3. The page with pricing

If the contract is a percent discount from list, please include a copy of the original manufacturer's

list price. This will usually be in the form of a published price list. If requesting to utilize only a portion of the items on the contract, attach only the pages with those prices.

PRIDE and RESPECT have published unit prices. These documents are maintained in the Purchasing and Contracts Division. These are available online.

Contracts from other Political Entities: The Purchasing and Contracts Division needs the following items:

1. A complete copy of the original solicitation.
2. A tabulation of all solicitation responses.
3. A copy of the award letter/memo/agenda item by the political entity to the vendor.
4. A complete copy of vendor's proposal.

Other cooperative contracts: This includes NASPO, Sourcewell, Florida Sheriff's Association (FSA), U.S. Communities/, Omnis Partners or any other cooperative contract. All cooperative contracts must have the element of competition in award.

NOTE B: Documentation requirements for a contract for Service:

In addition to the above documentation requirements, the following are the minimum requirements for any contract for service. The Purchasing and Contracts Division will advise if there are additional requirements due to the type of service to be provided.

Volusia County Business Tax Receipt (BTR): If the vendor is located in Volusia County, a copy of the current Volusia County business tax receipt is required.

Insurance: Copies of proof of all required insurance shall be included. The ACORD Insurance Form is preferred. Work with your Procurement Analyst in advance to establish proper insurance limits and insurance types.

LETTER CONTRACTS

Certain transactions, such as charitable contributions and payments for contractual services to other governmental or quasi-governmental agencies, require only the encumbrance of funds and a mechanism to process and track payments.

This type of transaction is processed using a system called "Letter Contracts" instead of issuing a purchase order. The Letter Contract can only be used when there is no competition **and** the vendor/provider is a not-for-profit organization or a governmental organization. The Letter Contract **cannot** be used to contract with any *for-profit* business entity, person, or other organization.

The Letter Contract will be typed/printed by the using Department/Division on their letterhead to the vendor/provider stating the terms and the amount of the award or contract. It is very important that the vendor/provider's name and address be checked and verified in the CGI system since this will be the payment address. Approval requirements are in accordance with the signature authority limits within this manual (\$25,000.00 - \$75,000.00 requires County Manager approval; over \$75,000 requires County Council approval). For assistance on correct use of a form, contact your Procurement Analyst.

For each Letter Contract, the using Department/Division shall complete the appropriate electronic document with all the information available and submit it to the Purchasing and Contracts Division. A copy of all Council-approved agenda items, along with all pertinent contract documents, shall be attached to the electronic document. Each Letter Contract will be signed by the Department Head prior to submission to the Purchasing and Contracts Division. It will be countersigned by the Director of Purchasing and Contracts or his/her designee when approved. Letter Contracts cannot cross fiscal years and must be closed out by the end of the fiscal year. Please allow a minimum of one week to process the Letter Contract.

A copy of the Letter Contract will be maintained in the Purchasing and Contracts Division attached to and archived with the electronic document and the original will be returned to the user to be mailed to the vendor/provider with any accompanying documentation or instructions. The requesting Department/Division will maintain a file for each Letter Contract which shall include all documentation and a copy of all requests for payment. This file shall be maintained for not less than three (3) years *after the close of the contract*.

EMERGENCY PURCHASING

An emergency is any condition that may affect the health, safety, and welfare of the citizens of Volusia County, or a condition that stops or seriously impairs the necessary function of County government.

If, during a normal business day, a Department/Division Director determines that an emergency exists and a purchase is required, the Director or designee by the Director shall notify the Purchasing and Contracts Division to request an emergency purchase order. A complete description of the emergency and justification for the purchase is required. The emergency must be valid and not just a result of poor planning. If the emergency is anticipated to cost less than \$25,000.00, and the Director of Purchasing and Contracts determines the emergency is valid, he/she will issue a verbal purchase authorization. If the emergency is anticipated to exceed \$25,000.00, the Director of Purchasing and Contracts shall review the documentation and obtain approval from the County Manager or Designee. The Department / Division may make the purchase and submit the appropriate document to the Purchasing and Contracts Division no later than the next business day after the emergency. The document must be accompanied by a written description and explanation of the emergency and circumstances. If the requested document is greater than \$50,000, the Department / Division must prepare an agenda item for the next County Council meeting, certifying that the conditions and circumstances required emergency purchasing procedures.

If emergency purchases are required at times when the Purchasing and Contracts Division is closed, the Department / Division Director may make the purchase at his/her discretion and shall contact the Purchasing and Contracts Division the **next business day to obtain an emergency purchase authorization**. This type of purchase is subject to all requirements stated above and shall be processed in the same manner. Approvals are obtained as required per the dollar value of the purchase.

Emergency Purchase Orders are assigned special numbers to designate the specific emergency and to facilitate tracking as may be required for various agencies, such as the Federal Emergency Management Department/Division (FEMA). The Director of Purchasing and Contracts may designate during major emergencies (such as storms or hurricanes) one or more Purchasing and

Contracts Division staff members to be on call twenty-four hours a day. These designated Procurement Analysts will be registered with the Emergency Operations Center and part of the Emergency Management Team. Each designated agent is authorized to work within prescribed emergency purchasing guidelines to assist with any needs.

Abuse of emergency purchase procedures may result in disciplinary action. An Emergency Condition:

- affects Health, Safety, Welfare of Citizens, or
- stops or seriously impairs a necessary function of County Government, **AND**
- delay will have detrimental effects.

For those services routinely utilized for emergency purposes (debris removal), work with your procurement analyst to customize to conform to all regulations.

Declarations of Emergency and interaction with FEMA:

In the case of an emergency where the County will be engaging with FEMA, County Procurement will utilize the guidelines of Super Circular, 2 code of Federal Regulations (C.F.R) Part 200.317 through 2 C.F.R Part 200.326, as amended.

<https://www.gpo.gov/fdsys/granule/CFR-2014-title2-vol1/CFR-2014-title2-vol1-sec200-317>

SOLE / SINGLE SOURCE

The term “**sole source**” means that the commodity can be legally purchased from only one source. This is usually due to the source owning patents and/or copyrights. A requirement for a particular proprietary item does **not** justify a sole source purchase if there is more than one potential supplier for that item. Use of Brand Names and Model numbers does **not** constitute a sole source.

The term “**single source**” means that a commodity can be purchased from multiple sources, but, in order to meet certain functional or performance requirements (repair parts, matching existing equipment or materials) there is only one economically feasible source for the purchase. In some cases the manufacturer will dictate authorized facilities that the County can use, although there are multiple places that provide the service, this would be a single source.

Purchases of goods and/or services from a sole/single source may be exempted from the quoting or bidding requirements upon completion of the written submittal to the Director of Purchasing and Contracts stating the purpose, need, and justification that this product or service is the only one that will produce the desired results. A letter or statement from a dealer, distributor or manufacturer will not be sufficient.

The justification shall answer the following questions:

1. What is the purpose and need of this product or service?
2. What functional or performance specification and/or statement of work does this product or service have that others do not have?
3. Why was this product or service selected over all other products or services?
4. What other vendors’ products or services have been evaluated?
5. Specifically why doesn’t each of the other products or services meet the functional or performance requirements listed in question 1, above?

All requests for Single or Sole sources shall be requested on the appropriate Single/Sole Source request form, found on the Purchasing and Contracts ENN site.

If the Director of Purchasing and Contracts concurs with the justification, the Director of Purchasing and Contracts must certify for the public record that the sole/single vendor is the only vendor who can supply the needed product or service. [Volusia County Code Section 2-276 (a)(6)]

If the total amount of the proposed purchase is greater than \$50,000.00, an agenda item must be prepared for the County Council to award the contract. All Single or Sole source documents shall be signed by Division Director or higher.

PROCUREMENT OF ADVERTISEMENT

In regards to required public notices by County Ordinance or State Statute. The division shall follow the requirements of the County Ordinance and related State Statute regarding legal notices in regards to placement and proof of advertisement. There are master agreements in place to meet these requirements with the following publications as approved by County Council on 12/07/17:

DAYTONA NEWS JOURNAL
DAYTONA TIMES, INC.
FLORIDA COURIER-DAYTONA TIMES
HOMETOWN NEWS
ORLANDO SENTINEL, THE
PENNSAVERS
WEST VOLUSIA BEACON

These may also be used for any other required legal advertisement or employment advertisement. In addition, the County purchases radio, television and display print advertisements for public information and promotional purposes with majority placed directly by the County. Individual divisions/departments have budget accounts for these purposes and should work through Community Information for proper placement and use of proper agreements.

For procurement of advertising, the end user shall complete a single source form with complete justification of why the selected advertiser is the only/best meets the needs of the required outcome for the advertisement and need of the division/departments. Why the price is fair and reasonable and any efforts to negotiate the best rate possible. The Single source form shall be signed by division director and submitted to Purchasing and Contracts for certification by the Director. The assigned procurement analyst shall review and validate single source request prior to submitting to Purchasing and Contracts Director for certification.

For more information regarding single source procurement, see the Sole / Single Source Procurement section of the County of Volusia, FL, Purchasing & Contracts' Procedures Manual at <http://ennprod.covdnssrv.co.volusia.fl.us/purchasing/forms/P&C%20Manual%2003-28-19.pdf>, or refer to Chapter 2 (Sec. 2-276, Exceptions) of the Volusia County Code of Ordinances at https://library.municode.com/fl/volusia_county/codes/code_of_ordinances?nodeId=PTIICOOR_CH2AD_ARTVIFI_DIV2PU_S2-276EX

In addition, any agreements/placement orders that require signature should also be sent to Purchasing and Contracts for review, revisions, and signature.

REQUESTS FOR CHANGE ORDERS

In the course of business, it may be necessary to make changes to a Contract, Master Agreement or Purchase Order. These changes may be accomplished through the following means.

TOC

Contracts and Master Agreements: The requesting Department/Division must submit a written request for any Change Order for any reason. It must clearly and concisely define what is to be changed and why the change is being requested. The request must list the correct vendor name as it appears on the Contract and/or MA. Should you become aware that the vendor name or ownership changes, notify Purchasing & Contracts Division immediately. If there is a change in the price, the appropriate account number(s) with the corresponding change in amount must also be listed and authorized by the designated authority. Depending upon the total value of the Contract and the amount of the change order requested the Change Order may require County Manager or Council approval. The County Manager is authorized to approve Change orders which cumulatively do not exceed \$75,000.00. County Council must approve all Change orders that cumulatively exceed \$75,000.00.

Construction Contract Change orders: Changes to construction contracts to adjust contract price or contract time must be done through a construction contract change order form which are available on ENN under Purchasing and Contracts.

Task Assignment (TA) Amendments: TA Amendments to adjust TA price, TA Scope of Services or TA time must be done through a TA Amendment form which are available on ENN under Purchasing and Contacts.

Purchase Orders: The requesting Department/Division may submit change orders via the Purchasing and Contracts Division in writing. Procedures are posted on ENN. The Change Order will work-flow through all levels of necessary authorization required for approval. There are exceptions to this procedure:

1. **End of the year roll-over:** at the end of the year agencies will be notified that Change orders may no longer be processed by each Department/Division, but must flow through the Purchasing & Contracts Division. Example: 2016 P.O.s that require Change orders in 2017 must be modified by the Purchasing & Contracts Division. This will hold true for the coming years as well.
2. **Retainage:** any Purchase Order holding retainage must be modified through the Purchasing and Contracts Division.

Assignment and Assumptions: There are times when companies merge or sell to another company and, with it, the sale includes the county's contract. A vendor shall notify the County 30 days prior to the effective date of the assignment, and complete all necessary paperwork. Failure to submit a timely notification of an assignment to the county may result in a material breach of the contract and termination by the county.

USE OF COUNTY LOGO /TRADEMARK

The County owns and retains all proprietary rights in its logos, trademarks, trade names, and copyrighted images (“Intellectual Property”). As such, nothing in a solicitation permits or shall be construed as authorizing a Respondent to use or display County’s Intellectual Property on Respondent’s submittal documents or proposal (including any exhibits attached thereto) submitted to County by or on behalf of a Respondent in response to a solicitation. Unless expressly authorized in writing by County, a Respondent is not authorized and shall not make use of or display any County Intellectual Property on or in its proposal or submittals in response to a solicitation. Unauthorized use of County’s Intellectual Property may constitute trademark and/or copyright infringement in violation of federal and state laws.

UNSOLICITED OFFERS

An unsolicited offer is any offer other than one submitted in response to an invitation. Contact your Procurement Analyst for assistance.

GRANTS

Expenditures from funds other than general fund tax dollars may require special processing because of specific legal terms and conditions placed by the funding Department/Division. Grants often have certain purchasing requirements that are different from the County's. Purchases made with Federal funds such as from the Federal Aviation Department/Division (FAA) and from the Federal Transportation Authority (FTA) require special purchasing procedures. Purchases made from confiscated funds require certain prior approvals before purchases can be made. It is the responsibility of the requesting Department/Division to identify and to transmit to the Purchasing and Contracts Division any special purchasing requirements or provisions, and it is the responsibility of the Purchasing and Contracts Division to ensure that those special purchasing requirements are followed. This includes how local preference is applied.

Unless otherwise specified in the grant, all grant purchases shall follow the County’s Purchasing Ordinance, Policies and Procedures.

RESEARCH AND DEVELOPMENT, TRIALS AND DEMONSTRATIONS

Research and Development

Sometimes requirements are not readily definable. A user may know what needs to be done, but not how to get it done, or there may be more than one method or product that can do the job. In order to more closely define what is needed, they may want to "test" several products or services to determine which best suit their need. This is called Research and Development (R & D). The Director of Purchasing and Contracts may waive some purchasing requirements for an approved R & D project. Complete documentation with requirements and anticipated/expected results is required, and each project is determined on a case-by-case basis. After an R & D is approved, the Department/Division is responsible for maintaining and submitting to the Director of Purchasing and Contracts objective project reports and results to help in any future purchasing decisions.

Demonstrations

To ensure that both the Vendor's and the County employee's time is used wisely, and to prevent the appearance of impropriety, Vendors and Volusia County Agencies shall coordinate all demonstrations of products and services through the Purchasing and Contracts Division. If

interested in a demonstration for a specific product or service, please contact the assigned Purchasing and Contracts staff member who will help arrange the demonstration.

DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM

The County of Volusia's Disadvantaged Business Enterprise (DBE) Program is administered by the Department of Economic Development. The program is designed to assure disadvantaged, minority, and women-owned businesses (DBE's) equal access to providing goods and services to the County. The Volusia County Council set a goal that 10% of the aggregate of the County's annual expenditures for goods and services are purchased from these type businesses. Departments / Divisions / Activities may contact the Department of Economic Development to answer questions on how the program may affect their specific project or purchase.

COOPERATIVE PURCHASING

It is standard policy of the Purchasing and Contracts Division to cooperate with other government agencies in the purchase of goods and services required by the County.

The most common form of cooperative purchasing is purchasing from contracts issued by the State of Florida for specific commodities. When any other government Department/Division has competitively bid and awarded any contract for any product or service, Volusia County may purchase that product or service from the awarded vendor at the awarded price if the original bid specification and/or statement of work and award allow it. This is generally known as "piggybacking." It is the responsibility of any Volusia County Department/Division to transmit this type information to the Purchasing and Contracts Division when requesting a piggyback purchase. The Director of Purchasing and Contracts has the authority to deny the piggyback purchase if a direct purchase by Volusia County would be more beneficial.

It may prove advantageous for the County and other government agencies to explore the possibility of combining their respective requirements for certain commodities and issuing a joint cooperative bid/RFP. Please contact the Purchasing and Contracts Division with any ideas for such cooperative purchasing. The Purchasing and Contracts Division will coordinate with other government agencies to explore if cooperative purchasing is an alternative.

Utilization of another government Department/Division's solicitation falls under the same signature authorization levels as noted in this procedure manual.

LOCAL PREFERENCE

1. *Applicability.* This section shall apply to purchasing subject to the formal bidding requirements of section 2-271, or that require multiple competitive quotes subject to the requirements of subsection 2-270(b), and result from an invitation to bid or request for quote by the county where price is the sole determining factor for the award of contract to the lowest responsible and responsive bidder.
2. *Preference.* Preference afforded under this section shall be calculated as follows:
 - A. A prime contractor qualifying as a local business shall be granted a preference of three percent of the total bid price or quote.
 - B. A prime contractor meeting the requirements of subsection (b)(1) proposing the utilization of a subcontractor shall be granted a preference of two percent of the bid price or quote if the work to be performed by all subcontractors, that qualify as local businesses, constitutes

51 percent or greater of the total work to be performed by all subcontractors. This subsection shall not apply if the invitation to bid or request for quote does not authorize utilization of subcontractors.

- C. In the event that a prime contractor qualifies for a preference under subsections (b)(1) and (b)(2), total preference shall not exceed five percent.
- D. Preference shall not be given to that amount of the total bid price or quote of a prime contractor exceeding \$25,000.00 from the nearest competing bid price or quote for that solicitation.

Preference shall be calculated based on the total bid or quote price, including any alternate or optional service or product in the bid or quote selected by the county.

Exemptions. Notwithstanding subsection (a), this section shall not apply to any of the following purchasing activities:

- A. Purchasing funded, in whole or in part, by an entity prohibiting application of the preferences provided by this section by grant agreement, contract or applicable federal, state or local law; or
 - B. Emergency purchasing subject to section 2-275 of the Code.
3. *Certification.* A prime contractor claiming to qualify as a local business for purposes of this section shall so certify in writing on a form prescribed by the Director of Purchasing and Contracts as part of the competitive solicitation process.
 4. *Verification.* Any prime contractor or subcontractor subject to a bid or quote subject under this section shall provide all documentation required by the Director of Purchasing and Contracts that demonstrates its qualification as a local business prior to time of purchase or recommendation of award of contract.
 5. *Maintenance of status.* A prime contractor granted a preference under this section resulting in a written contract shall stipulate to maintaining its status as a local business throughout the term of the contract, including subcontractors for which preference is granted. This requirement shall be included in the contract between the prime contractor and subcontractor.
 6. *Subcontractors.* A prime contractor seeking preference under this section shall be required to disclose all subcontractors in its bid or quote and stipulate to continued utilization of subcontractors for which preference is granted under this section in any resulting contract unless approved in writing by the county and without change in price.
 7. *Employment vacancies.* A prime contractor, and its subcontractors, granted preference under this section stipulates to posting employment vacancies generated from a resulting contract with agencies or organizations that may be identified by the Director of Purchasing and Contracts.
 8. *Compliance.* Noncompliance with this section shall be deemed a material breach of the resulting contract, grounds for termination by the county and may subject the prime contractor to disqualification in accordance with subsection 2-267(9).
 9. *Reciprocity.* Effective July 1, 2012, no county, or municipality within a county, listed in the definition of local business in section 2-261 shall serve as a basis for preference under this

section if that county or municipality authorizes preference in its procurement process to a business based on a physical location that does not include Volusia County.

RECEIVING, INSPECTION, AND TESTING

Receiving and Inspection

It shall be the responsibility of each Department/Division to have an individual, immediately upon receipt of a product or service, to inspect that product or service to ensure that it meets the specification and/or statement of work as set forth in the purchase order. The person should inspect for proper quantities, proper quality, no damage, and prompt delivery. The receiving person should have available a copy of the purchase order for verification purposes. Any deviations should be immediately documented and sent to the supplier and to the Purchasing and Contracts Division.

Signing a delivery slip does not necessarily constitute acceptance of an order. Any problems with an order should be documented and reported to the vendor and Purchasing and Contracts Division as soon as possible. The Purchasing and Contracts Division will assist the department with working with the vendor to resolve any issues. Time is of the essence when dealing with problems on an order. Failure to timely advise the vendor and/or freight carrier may limit remedies. Also if an item is delivered damaged, the receiving Department/Division has the responsibility to protect it and all packing materials from any further damage, and to make it available to the vendor and/or carrier for inspection.

FREIGHT/SHIPPING AND HANDLING ISSUES

Transportation of a product to the required delivery site is an additional expense to be considered. When obtaining quotes, using agencies should request the price be quoted including delivery, or delivery costs should be quoted as a separate line item. When the Purchasing and Contracts Division requests bids, it always includes delivery in the bid price.

Transportation is usually described as "F.O.B.: Destination" or "F.O.B.: Shipping Point". These are legal definitions and define when title or ownership passes from the seller to the buyer, who is responsible for any loss, and who generally pays the freight and transportation costs. The County always requests F.O.B Destination.

F.O.B.: Shipping Point (Origin): Title/ownership passes to the buyer (the County) when the goods leave the seller's dock and the County pays all transportation costs. If anything happens to the goods in transit, the County must still pay the seller and must file claims and settle with the transportation company for damages. If this method is chosen, the Invitation to Bid must specify the transportation carrier and the terms and conditions. **This method is very disadvantageous to the County and can be very expensive to administer.**

F.O.B.: Destination: Title/ownership passes to the buyer (the County) when the goods are accepted by the County and the seller normally pays all transportation costs. If anything happens to the goods in transit, the County does not have to pay the seller and the seller must file any claims and settle with the transportation company for damages. This is the best method for the County.

F.O.B.: Destination, Prepaid and Add: Under this arrangement, the seller will pay all delivery charges but will pass those costs along to the buyer (the County). However, title will not pass to

the County until it has accepted the goods.

F.O.B.: Destination, Estimated, Prepaid and Add: This arrangement is that the seller will quote only an estimated cost of delivery and will pass along the actual cost to the County upon invoicing.

When the County chooses to pay freight charges, the appropriate terminology will be printed on the Purchase Order document with an additional request that the actual shipping document with pricing be included with the invoicing document. This is a method of protecting the County from paying quoted or estimated freight charges that are not actual. There have been instances where freight charges were quoted and invoiced at a certain amount (such as \$50.00) and the actual charge was much less (such as \$17.00). Staff responsible for processing invoices should be aware of these arrangements and carefully check delivery charges before authorizing their payment.

INTERACTION BETWEEN COUNTY DEPARTMENTS/DIVISIONS/ACTIVITIES

The Purchasing and Contracts Division is a service Division; therefore, interaction with all County Departments / Divisions is a necessity. However, there are Departments / Divisions / Activities within the County who may also have input into what and how to purchase.

Office of Management and Budget (OMB)

No purchase can be made unless funding is available. It is the responsibility of the requesting Department/Division to ensure sufficient and proper funding is available prior to submitting a request to the Purchasing and Contracts Division.

Fixed Assets

Finance/Accounting is charged with inventorying all capital equipment and capital improvement purchases and the proper accounting for each. A Fixed Asset is defined as any item with a unit value of \$1,000.00 or greater and a useful life of one (1) year or more. A Fixed Asset/Property Acquisition/Disposition Form must be completed and submitted to Finance/Accounting within three (3) days of receipt of the asset. When an item is surplus, the Disposition form must be completed and forwarded to Fixed Assets.

Others

Because of standardization, specific legal or procedural requirements there are Departments/ Divisions who may regulate what is bought or how it is bought. These type purchases must be processed through and approved by these agencies before the request for purchase is sent to the Purchasing and Contracts Division. Examples are listed below.

- Building Repair / Remodeling / Maintenance Facilities Management
- Copiers and vending Facilities Management
- Computer Equipment and Software..... Information Technology
- Construction.....PW/Engineering and the Purchasing and Contracts Division
- Insurance..... Risk Management
- Legal Services.....County Attorney
- Space (office, storage, etc.)..... Facilities Management
- Telephone Equipment and Services..... Information Technology
- Temporary Services Human Resources
- Two-Way Communications Equipment (Radios)..... Information Technology
- Vehicles and Heavy Equipment.....Fleet Management

SIGNATURES

The Purchasing and Contracts Division and Accounting Division maintain signature authorities. These authority levels are tied to the current CGI system and the workflow components. For purchases, the signature authority is tied to the value of the purchase.

In addition to purchases, all after-the-fact justifications and single source requests shall be signed by the appropriate authority level stated in the quick reference guide.

Contracts for the purchase of any commodities or services may be signed only by the Director of Purchasing and Contracts, County Manager, or the Chair of the County Council, as applicable.

ACCOUNTING AND PAYMENTS

It is the responsibility of each using Department/Division to request payment for goods or services received. The Purchasing and Contracts Division cannot independently process payments. Accounting has certain policies and procedures to follow and will furnish those upon request and provide instruction.

There is a State Law (Florida Statute 218.7 Prompt Payment Act) governing payment for goods or services by government agencies. On the date of this publication, it states that vendors may assess a finance charge of 1% per month for payments not made within forty five (45) days of a correct invoice; therefore, it is imperative that all invoices are paid as promptly as possible. It is the responsibility of the department/division to notify the vendor, preferably in writing if an invoice is not correct, to eliminate the possibility of interest payments.

VENDOR RELATIONS

One of the functions of the Purchasing and Contracts Division is establishing and maintaining relations with firms that request to do business with the County and with firms that regularly do business with the County. Any user that develops a problem with a vendor and cannot satisfactorily resolve that problem should contact the Purchasing and Contracts Division. It is County policy to be fair with all bidders and vendors while still protecting the interests of the County.

Any problem should be **documented** immediately in writing giving all details such as date, nature of problem, person contacted, conversations between the County and the vendor, etc. This can be done using a "vendor complaint" form that can be obtained from the Purchasing and Contracts Division, or can be done simply by keeping a written log of the issue and passing it along to the Purchasing and Contracts Division. Documentation must be very detailed and immediately recorded. A simple complaint such as "*last week my uniform delivery was late*" is not acceptable.

All vendors may request and receive a debriefing on any project they participate in. The debriefing may include using divisions and personnel outside of the purchasing division, but will be coordinated through Purchasing and Contract.

DEBARMENT PROCEDURE

Purpose and Intent. The county endeavors to solicit offers from, award contracts to, and consent to subcontracts with responsible vendors and contractors only. To further this policy, the county asserts its authority to debar certain vendors and contractors from participating in solicitations pursuant to the policies and procedures herein. The serious nature of debarment requires that this sanction be imposed only when it is in the public interest for the county's protection and not for purposes of punishment. Debarment is intended as a remedy in addition to, and not in substitution of, the evaluation of the responsibility of county vendors and contractors, and this policy and the procedures provided for herein shall not supplant or supersede county's authority to reject or otherwise terminate vendors or contractors based on findings of non-responsibility on a case-by-case basis.

Debarment and Suspension. The purchasing director, after consultation with the County Attorney's office, shall have the authority to debar an actual or prospective vendor or contractor for cause from consideration for award of purchases or contracts. Such debarment shall not exceed five (5) years from the date of the final determination of debarment. Upon initiation of the debarment procedure, the purchasing director, after consultation with the County Attorney's office, shall also have the authority to suspend an actual or prospective vendor or contractor from consideration for award of purchases or contracts pending a final determination of debarment. Such suspension shall be for a period of one (1) year or until a final determination regarding debarment is made, whichever occurs earlier. If a company has been suspended or debarred previously, the County has the right to impose a longer debarment, including permanent debarment.

Causes for Debarment or Suspension. Debarment of a vendor or contractor may be effected for cause if such cause exists with regard to the vendor or contractor itself or a principal of such vendor or contractor. For the purposes of this policy, a person shall be deemed a principal of a vendor or contractor if he/she is a partner, majority shareholder, proprietor, director, officer, board member, manager, managing member, or the functional equivalent thereof, of such vendor or contractor. Cause for debarment or suspension shall exist if the purchasing director determines that any of the following applies to the contractor or vendor, or a principal thereof:

1. Conviction for commission of a criminal offence incident to obtaining or attempting to obtain a public or private contract or subcontract, or incident to the performance of such contract or subcontract.
2. Conviction under state or federal statutes for embezzlement, theft, forgery, bribery, falsification or destruction of records, receipt of stolen property or any other offense indicating or suggesting a lack of business integrity or business honesty, which currently, seriously, and directly affects the person or entity's responsibility as a vendor or contractor.
3. Conviction or civil judgment finding a violation of state or federal antitrust statutes arising out of the submission of bids or proposals.
4. A determination by a court, hearing officer, administrative official, or any local, state, or federal governmental entity or agency that the person or entity violated the provisions of any local, state, or federal laws or regulations.

5. Commission of any fraud or misrepresentation in connection with a bid, quotation, proposal, solicitation, or contract with the county or another public entity, regardless of whether such fraud or misrepresentation resulted in a conviction.
6. Violation of a material solicitation/contract provision with the county or public entity, including, but not limited to the following:
 - a) Deliberate failure without good cause to perform in accordance with the specifications or within the time limit provided in a bid/contract;
 - b) Abandonment of a contract;
 - c) Failure to pay a contractor, sub-contractor, or material provider as required by a lawful contractual agreement, the Florida Statutes, or other applicable law;
 - d) Repudiation of a bid or contract by failure to provide bonds, insurance, or other required certificates as required pursuant to such bid or contract;
 - e) Refusal to accept an addendum, agreement, or contract, or to perform thereon provided such addendum, agreement, or contract was issued timely and in conformity with the bid or solicitation received; however, a refusal to renew or extend an agreement or contract or exercise an option to renew or extend where such renewal, extension, or option requires the mutual consent of the parties pursuant to the terms of the applicable agreement or contract shall not be deemed a violation of this provision; or
 - f) Overall performance of a contract, which the county or another public entity evaluated as poor or unsatisfactory. For the purposes of evaluating the overall performance of county contracts, performance shall be deemed poor or unsatisfactory where the vendor or contractor continues to perform poorly or otherwise unsatisfactorily after the county has contacted the vendor or contractor regarding its performance issues and undertaken any dispute resolution or curative procedures as mandated by the applicable contract or agreement. Overall performance of a contract with another public entity shall be determined based upon any competent substantial evidence of poor or unsatisfactory performance for such other public entity, including, but not limited to, a letter terminating the vendor or contractor's services for cause; adverse action taken by the entity against the vendor, including, but not limited to, termination for cause, debarment, suspension, and/or a lawsuit.
7. A recent record of failure to perform or of unsatisfactory performance in accordance with the terms of one or more bids/contracts with the county or any other public entity; provided that failure to perform or unsatisfactory performance caused by acts beyond the control of the contractor shall not be considered a basis for debarment;

8. Presence of principals or corporate officers in the vendor or contractor's business or concern, who were principals or corporate officers within another business or concern at the time when such other business or concern was suspended or debarred within the last five (5) years under this debarment policy and procedure or by another public entity;
9. Violation of an ethical standard as set forth in either the county's code of ordinances or in the Florida Statutes; or
10. Any other cause or material factor which adversely affects the responsibility of a person or entity as a county contractor, including but not limited to suspension or debarment by another governmental entity for any of the causes listed in this section.

Subcontractors. It is the responsibility of the prime contractor to ensure that the same provisions set forth for the prime contractor are passed to the subcontractors. It is the responsibility of the prime to have subcontractors sign documentation that they understand and list debarments. The prime contractor shall follow the same process as the County in debarment of subcontractors.

Notice of Intent to Debar. Upon the purchasing director's preliminary determination that cause exists for debarment, the Director of Purchasing shall send a written notice of intent to debar to the contractor or vendor (or prospective contractor or vendor). Such notice shall state:

1. The reasons for the proposed debarment;
2. The proposed length of debarment;
3. That the preliminary finding of cause and proposed debarment action shall become final if an administrative request for review is not timely filed in accordance with the procedures for such review established herein;
4. Whether the contractor or vendor has been suspended from participating in other bids and solicitations pending the finality of the debarment determination; and
5. That suspended and/or debarred persons cannot be considered for award or receive new contracts during the period of suspension and/or debarment.

Request for Review. A vendor or contractor (or prospective vendor or contractor) that has received a notice of intent to debar may request that the proposed debarment action be reviewed and reconsidered. **Such request must be received by the purchasing director in writing within ten (10) business days of issuance of the notice of the intent to debar.** Such request for review and reconsideration shall state the basis for review and reconsideration, and shall include such documents, evidence, and other information as the requesting party deems necessary to support its position. If no request for review and reconsideration is received within the time period allowed, the determination of the purchasing director that cause exists for debarment and the proposed length of the debarment shall become final, and all rights to request review or appeal shall be deemed waived.

Review. Upon timely filing of a written request for review, the purchasing director shall review and reconsider the preliminary determination of cause for debarment, with or without a meeting

or hearing with the party requesting review, at the option of the purchasing director. The purchasing director may request information from, and speak individually or collectively to, any people or entities having information relevant to the debarment determination. The purchasing director shall render a written decision on the request for review and reconsideration, which shall include the purchasing director's final debarment decision and action taken, if any, within thirty (30) calendar days following receipt of the request. The time for rendering a written decision may be extended by (i) the deputy county manager overseeing the purchasing department or (ii) the county manager, if such extension is determined to be in the best interest of the county.

Appeal of the Purchasing Director's Decision. A vendor or contractor proposed for debarment may appeal the final decision of the purchasing director by submitting a written appeal of such decision to the County Manager within five (5) business days after the purchasing director's issuance of such final decision. The County Manager shall evaluate the vendor or contractor's written appeal and issue a written decision within thirty (30) calendar days of his/her receipt of such appeal. The vendor or contractor may appeal the County Manager's decision by submitting a written appeal to the County Chair with a copy to the County Manager and purchasing director within five (5) business days of the issuance of the County Manager's written decision. The appeal shall be placed on the agenda of a regular county council meeting as determined by the County Manager. The county council shall evaluate the appeal and render a decision at the meeting. The decision of the county council shall be final. The debarment and appeals processes shall not stay the county's solicitation, negotiation, or contract award processes, it being understood that the county must continue to be able to freely conduct county business during the debarment process.

Debarred and Suspended Persons List. The purchasing director shall maintain a list of suspended and debarred vendors and contractors. Vendors and contractors that have been suspended or debarred shall be deemed not responsible under the county's procurement code and be precluded from responding to solicitations or receiving awards of contracts from the county during the period of their suspension or debarment. Any bid or other response received from such a party during the period of their suspension or debarment shall be disregarded and not evaluated for potential award.

Other Remedies. Nothing in this policy or these procedures shall limit the county from pursuing other legal or contractual rights or remedies against a suspended or debarred contractor or vendor, regardless of the pendency of any proceedings hereunder that are related to such suspension or debarment.

SURVEYS / TESTIMONIALS / REFERENCES

County employees shall refrain from completing surveys or providing testimonials or any other type of reference for any contractor without prior approval from the County Attorney, County Manager, or Director of Purchasing and Contracts. There may be legal and contractual consequences when these things are completed without prior authorization.

REVENUE PROCEDURES

Certain transactions may occur by which the County sells, leases or licenses services, copyrights, or other intangible assets. These revenue transactions follow the same Purchasing guidelines, solicitation requirements and approval levels as the purchase of goods or services. Contact the

Director of Purchasing and Contracts for information and instructions since most of these transactions are unique and must be handled on a case-by-case basis. In addition, those services which have a revenue element included in the solicitation shall provide the method of audit and procedures prior to release for review by the Finance Divisions.

SURPLUS PROPERTY AND DISPOSAL

There are two responsibilities within the County's surplus program managed by the Purchasing and Contracts Division:

Obtaining Surplus Property

The Director of Purchasing and Contracts has the authority to obtain property from authorized surplus property programs such as other government agencies or public auction.

Surplus property obtained from Federal and State programs is governed by certain laws, rules, and regulations. Policies and procedures for participating in Federal and State programs are a separate issue and may be obtained by contacting the Director of Purchasing and Contracts.

The County Council has authorized the Director of Purchasing and Contracts to obtain supplies and equipment from public auction. The most common form of equipment obtained from auction is heavy equipment, off-road equipment, maintenance equipment, generators, etc. If a Department/Division requires this type equipment and would like to explore the possibility of obtaining it from auction, contact the Purchasing and Contracts Division.

Disposing of Surplus Property

The Director of Purchasing and Contracts is responsible for disposing of any supplies or equipment deemed to be surplus or scrap. Supplies or equipment no longer needed by a Department/Division may be redistributed to another County Department/Division or disposed of by several different options. Surplus property may be sold by sealed bid, or it may be sold at auction depending on the item, its value, and other concerns as determined by the Director of Purchasing and Contracts.

The County routinely disposes of surplus property at a public auction. Each department has one or more Fixed Asset custodians who are able to enter surplus items into the Surplus Property program for pick-up and sale at regularly scheduled auctions. For any item of capital equipment (any item that has a Fixed Asset property number), the Department/Division must complete and submit a Fixed Asset Acquisition/Disposition Form, which is part of the Surplus Property system on ENN. This will allow the item to be removed from the Fixed Asset Inventory for that Department/Division after its disposal. Please contact the Director of Purchasing and Contracts in writing with any questions regarding the proper method of disposal.

Library Special Surplus Procedures

The Director of Library Services shall first offer for sale all old books, magazines, tapes, etc., which the Director determines marketable. All other books, magazines, tapes, etc., determined not to be marketable may be disposed of by donation to charitable and nonprofit organizations, including Library Associations and Friends of the Library groups, or may be discarded if the Library material has become obsolete or has ceased to be useful because of its physical condition

or otherwise. All proceeds from the sale or disposition of library materials shall be paid to the County of Volusia and credited to the account of the Library Division. (Adopted by County Council on 4/2/81). This section does not apply to the disposal of any equipment or fixed assets.

Donations to Other Organizations / Agencies (Approved by County Council Nov. 7, 2002)

Except as outlined below, the County does not donate property to other governmental agencies or charitable or non-profit organizations. These organizations are encouraged to bid for the items at the County Auctions.

VOTRAN

The Director of VOTRAN shall submit documentation to the Director of Purchasing and Contracts that all equipment to be declared surplus for the purpose of donation meets the following requirements:

1. All equipment must meet all Federal, State and local usage requirements, i.e., years of service, mileage, etc.
2. The equipment cannot be utilized by any other Department/Division within Volusia County.
3. The fair market value of the equipment is such that the goals of the County will be better served by donation rather than sale.
4. The Department/Division requesting a donation must provide justification of the need for the equipment.
5. The Department/Division requesting a donation must provide 501C-3 non-profit certification paperwork.
6. Priority for the donation of buses will be given to non-profit agencies that currently use or may use VOTRAN Gold (ADA) Service to meet its transportation needs. Non-profit agencies that receive donation of a bus will work with VOTRAN to minimize the use of the Gold Service for transportation.

The Director of Purchasing and Contracts shall review the donation submittal and forward a recommendation to the County Manager for final approval.

REDUCE, REUSE AND RECYCLE PURCHASING POLICY STATEMENT

The County of Volusia is firmly committed to the principles of protecting the environment through sound business practices by *Reducing* the waste stream, *Reusing* materials when feasible and as much material as possible.

Since the County has a large economic impact with the scope and volume of its purchases and in order to stimulate the above efforts, the County shall adopt such policies and procedures as required to effect the greatest application of these principles such as:

1. All purchasing shall strongly encourage the use of recycled materials in the products to be purchased by the County. Selection and award shall consider the amount and type of recycled materials used in the product, and the County shall award contracts based upon obtaining the best value; price, quality, recycled content and total cost of ownership considered.
2. All purchasing shall strongly encourage all organizations doing business with the County to reduce their packaging materials, encourage them to use recycled materials in their packaging,

or to reuse their packaging materials. The amount and type of packaging materials and its effect upon the waste streams shall be taken into consideration when making awards.

3. All purchasing of energy consuming products shall also take into consideration the amount and types of energy to be used, the potential pollution effects, and alternative energy sources during specification development. The award of contracts for energy consuming products shall take into consideration the above factors in addition to price, quality, and total cost of ownership.
4. All purchasing and contracts for food and or beverage services, including but not limited to contracts for the Ocean Center, the Daytona Beach International Airport, and the Corrections facilities, shall require waste reduction and recycling programs to be implemented by the contractor. The types and effects of the proposed waste reduction and recycling programs shall be considered in the award of all such contracts.

This policy shall be effective immediately and shall continue until rescinded. It may be amended as required. [Adopted by the Volusia County Council, 18 November, 1993].

OPEN RECORDS

The County is governed by the Florida Open Records Laws, Chapter 119 of the Florida Statutes. Certain proprietary and financial information from vendors may be excluded under very strict circumstances. All memos and internal correspondence concerning any purchasing matter are open for public inspection.

AUTOMATION OF THE PURCHASING PROCESS

The Purchasing and Contracts Division consistently strives to automate as many functions as possible to provide timely, effective, and efficient service to our customers. This means that there will frequently be changes to how the County does business. The County Finance division currently utilizes the CGI financial system which integrates budget, purchasing, and accounting. Purchasing and Contracts Division's INTERNET and INTRANET sites are continually being expanded. In addition, quotes may be submitted utilizing www.volusia.org/bidlist.

Electronic Signatures – *to be updated at a future date*

E-Procurement - The County is in the process of transitioning to a new e-Bid/RFx software powered by Negometrix, which is a completely free service for all respondents. Solicitations are being released electronically through Negometrix in addition to the County website. Responses may be submitted electronically through this online platform, or they may still be delivered to the County. By using Negometrix, prospective bidders will be provided with all information regarding a solicitation including addenda and changes to the project requirements. For more information please click [here](#), or go directly to the [Negometrix](#) site.

UNAUTHORIZED PURCHASES

An **UNAUTHORIZED PURCHASE** is any purchase, order, or contract that is made by any County employee or official outside of the purview of the Volusia County Purchasing Ordinance, **and/or** the Purchasing Policy & Procedures.

Section 2-277 Unauthorized Purchases, Volusia County Code

Except as provided in this division, it shall be unlawful for any County officer or employee to order the purchase of any materials, supplies, equipment or professional or contractual services or to make any contract within the purview of this division other than through the Director of Purchasing and Contracts. Any Purchase Order or Contract made contrary to the provisions of this division shall not be approved, and the County shall not be bound thereby.

The Director of Purchasing and Contracts is the sole contracting agent for the County of Volusia, Florida. The County Council Chairperson, the County Manager, or the Director of Purchasing and Contracts are the only persons authorized to execute contracts for the purchasing of commodities, professional and contractual services, or the sale of property, without express action by the County Council. This policy does not apply to the purchase or sale of real property.

All commodities, professional and contractual services shall be purchased through the County's Purchasing System. Purchases, orders, or contracts that are subdivided to circumvent the Purchasing System, shall be considered **Unauthorized Purchases**.

Violation of the Purchasing Ordinance or Policies and Procedures may be grounds for disciplinary actions as well as Penalties. (Section 1-7 General Penalty, Volusia County Code.)

DEFINITIONS

For the purposes of this Procedures Manual, the following terms, phrases, words and their derivations shall have the meaning given herein. When not inconsistent with the content, present tense includes the future, plural includes singular, masculine includes feminine and vice versa.

Advertisement - A formal announcement of a solicitation, usually placed in a newspaper or on the Internet.

After the Fact Purchase – See unauthorized purchases, After the Fact (ATF) justification is required for any purchase orders entered after the receipt and invoicing of goods and services. The Division Director must sign and approve any ATF and forward to the Director of Purchasing for approval. Those After the Facts that exceed the threshold of the Division Director shall require approval of the Department Director.

CGI - The financial system utilized by the Purchasing and Contracts division for all purchasing requests.

Appropriateness - The purchase should clearly serve a County function.

Bid - Any offer specifically given to the County in response to an Invitation to Bid.

Bond - A binding agreement and/or sum of money of financial collateral put up for security to guarantee the performance by a contractor of certain duties and obligations. A **Bid Bond** guarantees the bidder/proposer will enter into a contractual relationship with the County within a specified time after award of a contract. A **Performance Bond** guarantees the contractor will faithfully and timely complete the contract. A **Payment or Materials Bond** guarantees the contractor will pay all subcontractors and material suppliers. A **Fidelity Bond** guarantees

repayment or replacement due to dishonesty or negligence destroyed by a contractor's employees or agent.

Business - Any corporation, partnership, individual, sole proprietorship, joint venture, joint stock company, or any other legal entity engaged in the commercial provision of commodities, services or labor.

Change Order - Any written modification to a Contract.

Commodity - Various supplies, goods, merchandise, equipment and other personal property.

Construction - The process of building, altering, repairing, improving, or demolishing any structure or building, or other improvements including roadways, utilities, and facility site work.

Consultant - Independent contractors who are considered to have education, specialized knowledge, experience or abilities not generally available within County government. This includes but is not limited to: accountants, actuarial, appraisers, architects, artists, auditors, counselors, designers, economists, educators, engineers, financial analysts, lobbyists, management and systems analysts, medical practitioners, planners, promoters, researchers, scientists, sociologists, surveyors, trainers, and other professionals as designated.

Contract - Any type of County agreement, regardless of what it may be called, for the purchasing, lease, or sale of commodities, services, or real property, or the disposal of assets.

Contract / Real Estate Related – An agreement to obtain the professional services of attorneys (to include paralegals and expert witnesses including appraisers) for the acquisition by purchase or lease/rent of real estate or the lease or rental of property for use by the County.

Contract / Technical/Consulting Services – An agreement to obtain the professional services of architects, engineers, land surveyors, artists, construction contractors, construction managers, maintenance contractors and any other technically related service providers.

Contractor - Any business that contracts to perform work or services or provides commodities to the County.

County - The County of Volusia, Florida.

County Council - The legislative body of the County of Volusia, Florida.

County Manager - The Chief Executive Officer of the County of Volusia, or designee.

Director of Purchasing and Contracts - The Director of Purchasing and Contracts of the County of Volusia, or designee.

Disadvantaged Business Enterprise (DBE) - Disadvantaged, minority, and women-owned business enterprises are defined as a business firm which is at least fifty-one (51) percent owned by disadvantaged, minority, or women Division members; or in the case of a publicly owned business, at least fifty-one (51) percent of the stock of which is owned by disadvantaged, minority, or women Division members. The disadvantaged, minority, or women business enterprise

ownership must exercise actual day-to-day management and control of the business. The definition of a small business will depend on the industry, commodity and the service involved. The County Council may determine by resolution, amended periodically, Divisions that are socially and economically disadvantaged.

Dollar Value – The maximum amount for a purchase that is authorized to be made by the designated county officials and the initial value shall be adjusted on October 1 of each year if the Weighted Five Year Average increases.

Emergency - Any condition that may affect the health, safety, and welfare of the citizens of Volusia County, or one that that stops or seriously impairs a necessary function of County government.

Evaluation committee - A committee that has been established and approved by the County Manager to review proposal for specific products or services submitted and make a recommendation to the County Council for award.

Fixed Asset - Any commodity that has a useful life of more than one year and a unit cost of one thousand dollars (\$1,000.00) or more.

F.O.B (Free On Board) - A legal term that determines where title passes from seller to buyer and who usually pays freight costs.

Formal Solicitation - A Solicitation (see definition below) that requires Council approval.

Grant - A contribution, gift, or subsidy made for specific purposes, frequently made conditional upon specific performance by the grantee.

GSA (General Services Administration) - A Federal government department that is responsible for the purchasing of most of the Federal Government Agencies.

Irregularity - Any change or omission in an offer or Contract that does not have an adverse effect on the County's best interests, and does not affect the outcome of the source selection process by giving an offeror an advantage or benefit not enjoyed by any other offeror, and not inconsistent with applicable laws.

Local Offeror - Any business having an active, valid Business Tax Receipt (BTR) in the following Counties, per the Local Preference Ordinance: Volusia, Flagler, Orange, Osceola, and Seminole.

Master Agreement - A nonexclusive, indefinite quantity agreement. It is a tool to facilitate purchases when the exact quantity of a required commodity or service is unknown or may vary depending upon the using Department/Division's requirements. It may also be called a Price Schedule contract, Requirements contract, or Term contract

Minority Business Enterprise (MBE) - See DBE

MRO - Maintenance, Repair, Operations supplies. Supplies used in daily operations.

Offer - Any bid, proposal or quotation made to the County.

Piggyback - Buying a product or service in accordance with a contract awarded by another political Department/Division.

Professional Services - Technical, and/or unique functions performed by independent contractors whose business is the rendering of such services. This includes accountants, appraisers, attorneys, auditors, medicine and the medical arts, architects, engineers, surveyors, management and systems consultants, research, the arts and other professionals as designated by the Director of Purchasing and Contracts.

Proposal - Any offer specifically given to the County in response to a Request for Negotiation, Request for Statement of Qualifications, or a Request for Proposals.

Purchasing/Procurement - Includes purchasing, buying, renting, leasing, bartering, or otherwise acquiring any commodity or service or construction. It also includes all functions that pertain to obtaining any commodity or service or construction, including description of requirements, selection and solicitation of sources, preparation and award of contract, and all phases of contract administration, and disposal of surplus.

Purchasing Card - The VISA card issued by the County for small non-recurring purchases. It may mean either a purchasing only card or a travel only card depending on context.

Pyramiding - Is defined as subdividing an order to circumvent the Purchasing Ordinance, Policies and Procedures. ***This is a prohibited activity.***

Quote, Quotation - A statement of price, terms of sale, and description of commodities or services offered by a seller to a prospective buyer (County).

Recurring Purchase - Making two or more purchases/charges when the user has reason to believe additional purchases/charges would be incurred by the County within the next twelve months.

Respondent - A business that responds to a solicitation.

Responsible - Having the capability in all respects to fully perform the Contract requirements and the experience, capacity, facilities, equipment, credit, sufficient qualified personnel, and having a record of timely and acceptable past performance that will assure good faith performance,

Responsive - Substantially conforming with all material respects to the requirements and criteria set forth in the invitation.

Request For Proposal (RFP) - A solicitation to provide a proposal (offer) to the County. It is usually awarded on basis other than just price.

Request for Statements of Qualifications (RSQ) - An invitation process used to select a contractor based upon the apparent qualifications submitted by the respondent.

Evaluation committee - A committee that has been established and approved by the County Manager to review proposal for specific products or services submitted and make a recommendation to the County Council for award.

Service - The furnishing of labor, time, or effort by a contractor. This includes all construction.

Single Source - A commodity can be purchased from multiple sources, but in order to meet certain functional or performance requirements (repair parts, matching existing equipment or materials), there is only one economically feasible source for the purchase.

Sole Source - The commodity can be legally purchased from only one source.

Solicitation - Includes all documents, regardless of the media, whether attached or incorporated by reference, used for inviting offers.

Specification - Any description of the physical or functional characteristics, or of the nature of the supply or service, or construction item. It may include a description of any requirement for inspecting, testing, or preparing a supply, service, or construction item for delivery.

Statement of Work (SOW) - The requirements that the offeror must complete for a contract. The requirements component of a Request for Negotiation, Request for Statement of Qualifications, or a Request for Proposal.

Supplies - All property, including but not limited to: equipment, materials, insurance, and leases of real property, excluding land or a permanent interest in land.

Surplus Property - Any tangible personal property or real property in excess of the needs of the County and not required for its foreseeable need.

Task Assignment - A specific job tied to a larger contract with established terms and conditions.

Technical Review Committee - Any committee appointed by the Director of Purchasing and Contracts to establish and/or review specifications or statements of work for any procurement project.

Unauthorized Purchase - Any purchase, order, or Contract that is made by any County employee or official outside of the purview of the Volusia County Purchasing Ordinance, and/or the Purchasing Policy & Procedures.

Unsolicited Offer - Any offer other than one submitted in response to an Invitation.

Using Department/Division - Any Department, Division, Commission, Board, Committee, Authority, or other unit in the County government that procures commodities, construction, or services.

Vendor - Any business firm that will be or has been awarded a contract by the County.

Vendor List - A computerized data base of businesses with whom the County has done business in the past and a list of firms who have expressed interest in submitting quotes and bids to the County. This is currently located in our Vendor Self Service system (VSS).

Women-Owned Business Enterprise (WBE) - See DBE